

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 11

Complete if Known

Application Number	09/976,443
Filing Date	October 12, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3684
Examiner Name	Nguyen, Nga B.
Attorney Docket Number	10761.0185-01

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	1	4,597,046	06-24-1986	Musmanno et al.	
	2	5,126,936	06-30-1992	Champion et al.	
	3	5,161,103	11-03-1992	Kosaka et al.	
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Attorney Docket Number	10761.0185-01

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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**FOREIGN PATENT DOCUMENTS**

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		Country Code Number Kind Code (if known)				
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	51	"GE Center for Financial Learning: Planning Tools, 'How Much Am I Spending?' " Internet Article, Online! 1999-2000. Retrieved from the Internet: www.financiallearning.com/ge/calculator.jsp?old=9705&BV_SessionID=@@@@1126282150.1003930066@@@&BV_EngineID=cadccfkmghkgbdcgceckh.0 on Oct. 24, 2001; 3 pgs.		
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	58	DEB, K. "Solving Goal Programming Problems Using Multi-Objective Genetic Algorithms" <i>Proceedings of the Congress on Evolutionary Computing</i> , July 6-9, 1999; pp. 77-84.		
	59	EPSTEIN, E. "Converging Future" <i>InfoWorld News</i> , January 7, 2000. Retrieved from the Internet: http://sandbox.xerox.com , 8 pgs.		
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	62	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Communication pursuant to Article 96(2), with Annex to the communication; Date: June 5, 2003.		
	63	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Decision to refuse a European Patent Application, with Annex to the communication; Date: March 17, 2005.		
	64	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Minutes of the oral proceedings before the Examining Division; Date of Proceedings: February 17, 2005, Date of Minutes: March 17, 2005.		
	65	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Summons to attend oral proceedings, with Annex to the communication; Date: October 27, 2004.		
	66	European Patent Application No. 02759326.8 (Publication No. EP 1419468), Communication pursuant to Article 96(2) EPC, with Annex to the communication; Date: September 19, 2005.		
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	69	European Patent Application No. 02765975.4 (Publication No. EP 1423772), Supplementary Search Report; Date of Mailing: August 2, 2006.		

Examiner Signature	Date Considered
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EXAMINER: If not in reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if initial is informal and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/976,443
				Filing Date	October 12, 2001
				First Named Inventor	Ronald E. Sloan
				Art Unit	3684
				Examiner Name	Nguyen, Nga B.
				Attorney Docket Number	10761.0185-01
Sheet	4	of	11		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation	
	70	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication pursuant to Article 96(2) EPC, with Annex to the communication; Date: February 10, 2005.		
	71	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication regarding the declaration under Rule 45 EPC, with Annex to the communication; Date: July 1, 2004.		
	72	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Decision to refuse a European Patent application; Date: January 21, 2008.		
	73	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: November 27, 2007; Date of Minutes: January 21, 2008.		
	74	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Result of consultation of November 19, 2007 with Annex to the communication; Date: November 23, 2007.		
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	78	European Patent Application No. 02802758.9 (Publication No. EP 1435033), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: June 17, 2009, Date of Minutes: June 30, 2009.		
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	84	International Patent Application No. PCT/US00/30389 (Publication No. WO 01/33467), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: September 18, 2001.		
	85	International Patent Application No. PCT/US00/30389 (Publication No. WO 01/33467), International Preliminary Examination Report; Date of Completion: August 15, 2002.		
	86	International Patent Application No. PCT/US00/30423 (Publication No. WO 01/33402), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: November 5, 2001.		
	87	International Patent Application No. PCT/US00/30423 (Publication No. WO 01/33402), International Preliminary Examination Report; Date of Completion: September 14, 2003.		

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	88	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: February 5, 2002.		
	89	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), International Preliminary Examination Report; Date of Completion: September 7, 2002.		
	90	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: September 25, 2001.		
	91	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), International Preliminary Examination Report; Date of Completion: July 26, 2002.		
	92	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: August 23, 2001.		
	93	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), International Preliminary Examination Report; Date of Completion: February 10, 2002.		
	94	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: May 28, 2003.		
	95	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), International Preliminary Examination Report; Date of Completion: April 8, 2005.		
	96	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: June 15, 2001.		
	97	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), International Preliminary Examination Report; Date of Completion: October 16, 2003.		
	98	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), Written Opinion; Date of Mailing: April 4, 2003.		
	99	International Patent Application No. PCT/US01/40214 (Publication No. WO 01/67340), International Preliminary Examination Report; Date of Completion: July 28, 2002.		
	100	International Patent Application No. PCT/US01/40214 (Publication No. WO 01/67340), International Search Report; Date of Mailing: November 9, 2001.		
	101	International Patent Application No. PCT/US02/25488 (Publication No. WO 03/17040), International Preliminary Examination Report; Date of Completion: May 19, 2004.		
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	108	International Patent Application No. PCT/US02/25492 (Publication No. WO 03/39227), International Search Report; Date of Mailing: August 18, 2003.		
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	132	Office Action issued in U.S. Patent Application No. 09/520,564; Date Mailed: July 1, 2002.	
	133	Office Action issued in U.S. Patent Application No. 09/520,580, Advisory Action; Date Mailed: February 9, 2005.	
	134	Office Action issued in U.S. Patent Application No. 09/520,580, Advisory Action; Date Mailed: August 6, 2003.	
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	137	Office Action issued in U.S. Patent Application No. 09/520,580; Date Mailed: March 12, 2003.	
	138	Office Action issued in U.S. Patent Application No. 09/520,580; Date Mailed: June 16, 2004.	
	139	Office Action issued in U.S. Patent Application No. 09/520,580; Date Mailed: July 25, 2002.	
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	141	Office Action issued in U.S. Patent Application No. 09/520,600; Date Mailed: September 26, 2002.	
	142	Office Action issued in U.S. Patent Application No. 09/520,938; Date Mailed: February 19, 2003.	
	143	Office Action issued in U.S. Patent Application No. 09/520,940; Advisory Action; Date Mailed: July 12, 2004.	
	144	Office Action issued in U.S. Patent Application No. 09/520,940; Decision on Appeal by the Board of Patent Appeals and Interferences; Decided: April 23, 2007.	
	145	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: January 30, 2004.	
	146	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: February 25, 2003.	
	147	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: April 8, 2005.	
	148	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: June 25, 2002.	
	149	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: August 26, 2003.	
	150	Office Action issued in U.S. Patent Application No. 09/520,940; Date Mailed: October 5, 2004.	
	151	Office Action issued in U.S. Patent Application No. 09/520,940; Examiner's Answer before the Board of Patent Appeals and Interferences; Date Mailed: March 21, 2006.	
	152	Office Action issued in U.S. Patent Application No. 09/520,943, Examiner Interview Summary; Date Mailed: September 19, 2006.	
	153	Office Action issued in U.S. Patent Application No. 09/520,943, Examiner's Answer Before the Board of Patent Appeals and Interferences; Date Mailed: September 26, 2006.	
	154	Office Action issued in U.S. Patent Application No. 09/520,943, Examiner's Answer Before the Board of Patent Appeals and Interferences; Date Mailed: November 7, 2005.	
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Examiner Signature			Date Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	09/976,443
Filing Date	October 12, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3684
Examiner Name	Nguyen, Nga B.
Attorney Docket Number	10761.0185-01

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
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Application Number	09/976,443
Filing Date	October 12, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3684
Examiner Name	Nguyen, Nga B.
Attorney Docket Number	10761.0185-01

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/976,443
				Filing Date	October 12, 2001
				First Named Inventor	Ronald E. Sloan
				Art Unit	3684
				Examiner Name	Nguyen, Nga B.
				Attorney Docket Number	10761.0185-01
Sheet	10	of	11		

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Examiner Signature	Date Considered
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First Named Inventor	Ronald E. Sloan
Art Unit	3684
Examiner Name	Nguyen, Nga B.
Attorney Docket Number	10761.0185-01

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Examiner
Signature

/Nga Nguyen/ (01/31/2010)

Date
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